



PENNSYLVANIA REFERRING PARTY/BROKER ATTESTATION

Under 63 Pa. Stat. § 455.301 et seq.

Effective Date: _____

Borrower Name: _____

Address: _____
City State Zip Code

Loan Number: _____

By signing below, I affirm that it is my understanding that the loan transaction identified above is NOT a “first mortgage loan” as defined under 7 Pa. C.S.A. § 6102 as the property is not primarily for personal, family or household use.

Further, in connection with this loan application, I affirm and attest that (*check the box that applies*):

☐ I am NOT licensed as a real estate broker in the State of Pennsylvania. However, I affirm that all of the following apply.

1. Although I may be paid a finder’s fee or other compensation in connection with referring this loan application to the Lender, I have not ***negotiated or attempted to negotiate a financing for any real estate***, as provided by 63 Pa. Stat. § 455.201.
2. In connection with this mortgage loan application:
 - a. I have been provided the Lender’s pricing rate sheet and pricing information, which I have communicated to the Borrower. I have not (i) requested that the Lender match pricing from competitors (ii) requested a pricing exception; (iii) made any adjustments to the Lender’s pricing rate sheet.
 - b. I have been provided the Lender’s guidelines and underwriting criteria, which I have communicated to the Borrower. I have not (i) attempted to qualify or approve the Borrower for a particular mortgage loan or (ii) requested any underwriting exception from the Lender.
3. In making this attestation, I have had the opportunity to consult with legal counsel of my choice.

☐ I hold a current and valid real estate broker license in Pennsylvania.

Referring Party/Real Estate Broker:

Print Name: _____ Lic #: _____

Signature: _____ Date: _____